

Docket No: SVL920010040US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Chiang, et al.**

Serial No.: **09/849,105**

Group Art Unit: **2181**

Filed: **May 4, 2001**

Examiner: **Unassigned**

Confirmation No.: **1993**

Title: **IMS MFS (MESSAGE FORMAT SERVICE) METAMODEL**

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**INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION  
(37 CFR 1.97(b))**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97-1.99, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of prior art which is considered to be pertinent to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the art cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited reference, and return a copy of the Form 1449 for applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

The citation of the above references should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent art is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

**CERTIFICATE OF MAILING**

I hereby certify that the above paper/fee (along with any paper referred to as being attached or enclosed) is being deposited with the United State Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

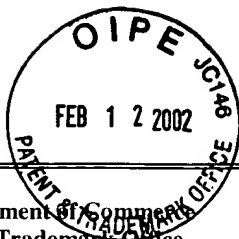
Date of Deposit: January 30, 2002

Person mailing paper/fee: Carla R. Aliberti

Signature: Carla R Aliberti

Respectfully Submitted,

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Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket No.  
SVL920010040US1Serial No.  
09/849,105

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant (s):  
Chiang, et al.Filing Date:  
May 4, 2001Group Art Unit:  
2181

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

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## FOREIGN PATENT DOCUMENTS

		Document	Date	Country	Class	Sub-class	Translation Yes/No
	BA						
	BB						
	BC						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	CA	James Martin, "Principles of Object-Oriented Analysis and Design", October 29, 1992, Chapter 1-22
	CB	"Quarterdeck Mosaic User Guide", 1995, Chapters 1-7

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant (s).